

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Inventors : Jeffrey H. Peltier et al.
Serial No. : Not yet assigned
Filed : Herewith
Title : **Modified Polymeric Flocculants
With Improved Performance
Characteristics**
Docket No. : 020354 062P2 CON
Customer No.: 33805

"Express Mail" mailing label number:

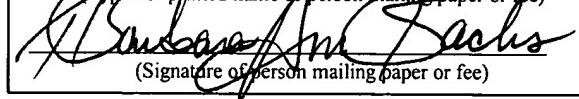
EU 990170773 US

Date of Deposit April 2, 2004

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Barbara Ann Sachs

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Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO/SB/08A. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This information disclosure statement, filed in accordance with 37 C.F.R. 1.97, shall not be construed as a representation that a search has been made. Further, the filing of this information disclosure statement shall not be construed to be an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

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- _____ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- _____ a. Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1).
- _____ b. No item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart

foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).

_____ c. Attached is our check no. _____ in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). Please credit or debit Deposit Account No. 02-2262 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

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Respectfully submitted,
WEGMAN, HESSLER & VANDERBURG

By:


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April 1, 2004

Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/287,236
				Filing Date	November 4, 2002
				First Named Inventor	Peltier
				Art Unit	1713
				Examiner Name	Helen Lee Pezzuto
Sheet	1	of	2	Attorney Docket Number	020354 062P2 CON

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	<u>Document Number</u> Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-3,601,039	8-24-1971	Schover	
		US-3,975,266	8-17-1976	Baize	
		US-3,235,490	2-15-1966	Goren	
		US-3,698,037	10-17-1972	Johnson	
		US-4,705,640	11-10-1987	Whittaker	
		US-6,294,622	9-25-2001	Barajas et al.	
		US-3,284,393	11-08-1966	Vanderhoff et al.	
		US-5,006,596	04-09-1991	Chen et al.	
		US-5,807,489	09/15/1998	Farinato et al.	
		US-RE 28,576	10-21-1975	Anderson et al.	
		US-4,661,259	04-28-1987	Walterick, Jr. et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	<u>Foreign Patent Document</u> Country - Number ⁴ -KindCode ⁵ Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		WO 02/32965	04-25-2002	Hernandez et al.	
		WO 02/02662	01-10-2002	Whipple et al.	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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		US-5,626,718	05-06-1997	Philippe et al.	
		US-4,396,513	08-02-1983	Haldeman	
		US-5,037,863	08-06-1991	Kozakiewicz et al.	
		US-5,171,808	12-15-1992	Ryles et al.	
		US-5,840,804	11-24-1998	Carl et al.	
		US-6,117,938	09-12-2000	Farinato et al.	
		US-6,579,417	06-17-2003	Hund et al.	
		US-6,605,674	08-12-2003	Whipple et al.	

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Examiner Initials*	Cite No. ¹	<u>Foreign Patent Document</u> Country - Number ⁴ -KindCode ⁵ Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		DE 37 33 587	04-14-1988	Jaeger, et al.	
		EP 8711 4585.0	04-27-1988	Jaeger, et al.	
		WO 97/34945	09-25-1997	Green et al.	
		WO 01/55228	08-02-2001	Flisher et al.	
		JP 2002-233708	08-20-2002	Hymo Corp.	.

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